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bang with his knees, for example, such as during vigorous riding.

Another type of steering tube clamping arrangement attempts to remove the clamp and bolts from protruding backward into the rider's space. This type of stem includes a vertically oriented tubular portion defining a main passageway that receives the upper end of the steering tube. To secure the stem relative to the steering tube a clamp may be positioned within a second passageway that extends transverse to the main passageway and which is in communication with the main passageway. The clamp typically includes two cylindrical halves or clamping members which can be urged together by a single fastener, such as a bolt which extends along an axis defined by the cylindrical halves. More particularly, the cylindrical halves each include corresponding arcuate recesses which when properly aligned will press against an outer circumferential portion of the steering tube upon tightening of the bolt to thus clamp the bicycle stem to the steering tube. Such stems are shown, for example, in U.S. Patent Nos. 5,687,616 and 5,842,385.

Unfortunately, the transverse clamp for the steering tube has relatively little surface area to contact the steering tube. The outermost ends may define contact points which bite into the steering tube, thus forming dimples in the metal steering tube. Such deformations of the metal steering tube may be undesirable for a number of reasons. For example, the dimples may subsequent fine adjustment, since the clamp will tend to seat into the dimples. In addition, for a composite material steering tube an entirely different type of clamping arrangement may be needed to avoid causing damage in the composite material which may propagate to failure of the steering tube. Such

composite steering tubes are often used on high-end road bicycles, for example.

Yet another difficulty or shortcoming of many conventional bicycle stems is that have a recess of uniform diameter to grasp or clamp to the handlebar. This uniform diameter may be sufficient for a handlebar with a corresponding uniform diameter at the clamping area; however, many handlebars include a slight bulge or enlarged diameter portion at the clamping area. Accordingly, a conventional clamp will permit the handlebar to wobble slightly. In addition, the clamp will experience greater stress since it is only tightly contacting the handlebar at interior portions of the recess. These shortcomings may lead to failure of the handlebar clamping portion, or unacceptable wobble or loosening of the connection to the handlebar.

#### **Summary of the Invention**

In view of the foregoing background, it is therefore an object of the invention to provide a bicycle stem and associated methods wherein a secure and rugged connection is made to the handlebars.

This and other objects, features and advantages in accordance with the invention are provided by a bicycle stem comprising a body portion and a handlebar clamping portion connected thereto, and a handlebar clamping member cooperating with the handlebar clamping portion to clamp the bicycle handlebar, and wherein the handlebar clamping member and the handlebar clamping portion each have a cavity in a respective medial portion thereof to accommodate an enlarged diameter portion of the handlebar. The enlarged diameter portion may be a tapering in diameter of the handlebar where the handlebar clamp secures to the handlebar.

The handlebar clamping member and the handlebar clamping portion may both have generally rectangular shapes overlying one another. In these embodiments, the least one fastener comprises  
30 respective fasteners securing corners of the handlebar clamping member and the handlebar clamping portion together. Accordingly, a strong and reliable connection is made with the handlebar. The body portion, handlebar clamping portion and steering tube

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FIGS. 6 and 7 are perspective and side elevational views, respectively, of an embodiment of a steering tube clamp in accordance with the present invention.

FIGS. 10, 11 and 12 are perspective, and side and elevational views, respectively, of the steering tube clamp as used in the stem of FIG. 1.

FIGS. 14A and 14B are greatly enlarged longitudinal cross-sectional views of the steering tube clamp as shown in FIGS. 10-12 illustrating the canting angle feature.

FIG. 18 is a perspective view of an embodiment of a steering tube clamp member to be used in the bicycle stem embodiment shown in FIGS. 15-17.

FIGS. 20 and 21 are perspective and end views, respectively, of the handlebar clamping portion

of the bicycle stem as shown in FIG. 1 with the handlebar clamp removed for clarity.

FIGS. 22 and 23 are top plan and bottom plan views, respectively, of the handlebar clamp member as shown in FIG. 1.

FIGS. 24 and 25 are side and end elevational views, respectively, of the handlebar clamp member as shown in FIG. 1.

FIGS. 26 and 27 are left and right side elevational views of the steering tube portion of the bicycle stem as shown in FIG. 1 illustrating the maintenance failure indication.

FIG. 28 is a bottom plan view of the bicycle stem as shown in FIGS. 26 and 27.

**Detailed Description of the Preferred Embodiments**

The present invention now will be described more fully hereinafter with reference to the accompanying drawings, in which preferred embodiments of the invention are shown. This invention may, however, be embodied in many different forms and should not be construed as limited to the embodiments set forth herein. Rather, these embodiments are provided so that this disclosure will be thorough and complete, and will fully convey the scope of the invention to those skilled in the art. Like numbers refer to like elements throughout. Prime and multiple prime notation are used to indicate similar elements in alternate embodiments.

Referring initially to FIGS. 1-3, a first embodiment of the bicycle stem **30** in accordance with the invention is now described. The bicycle stem **30** illustratively includes a body portion **31** having opposing first and second ends, a handlebar clamping



portion **32** connected to the first end of the body portion, and a steering tube clamping portion **33** connected to the second end of the body portion. A handlebar clamping member **50** is removably secured to  
5 the handlebar clamping portion **32** as will be described below in greater detail.

In the illustrated stem **30** the body portion **31** is angled upwardly from the steering tube clamping portion **33**. The stem **30** may be reversed when connected  
10 to a bicycle so that the body portion **31** angles downwardly from the steering tube clamping portion **33** as will be appreciated by those skilled in the art. For example, for mountain biking many riders may prefer the upward angle, while road bikers may prefer the  
15 downward arrangement.

In the illustrated embodiment, the body portion **31** has a tubular construction for reduced weight with a progressively increasing diameter from the first to the second ends. Of course, in other  
20 embodiments, the body portion **31** of the stem **30** could be made shorter or longer, and the body portion **31** could be perpendicular to the steering tube clamping portion **33**. The body portion **31** could also have a constant diameter, or have other shapes, such as a  
25 block shape, as will also be appreciated by those skilled in the art.

The steering tube clamping portion **33** illustratively has a tubular shape defining a steering tube receiving passageway therethrough **35**, and a clamp  
30 receiving passageway **36** (FIGS. 1 and 3) transverse to the steering tube receiving passageway and in communication therewith. By tubular is meant side walls having an opening or bore therein defining the steering tube receiving passageway **35**, and the side

walls need not have a uniform thickness in all contemplated embodiments. In some embodiments, the steering tube clamping portion **33**, could have a rectangular, polygonal or other shape and still be considered as tubular as will be appreciated by those skilled in the art.

The steering tube clamping portion **33** desirably presents a smooth surface free of protrusions in the direction backward toward the rider. As discussed above in the Background, such protrusions as are common on many conventional stems may be contacted by a knee of a rider.

The body portion **31**, handlebar clamping portion **32** and steering tube clamping portion **33** of the stem **30** may be integrally formed as a monolithic unit in some embodiments, thereby also increasing strength especially relative to welded stems. The material may be a metal, such as aluminum, magnesium, steel, or alloys thereof, or the material may be a plastic or composite material, such as carbon fiber, as will be appreciated by those skilled in the art.

Referring now additionally to FIGS. 4-12, embodiments and aspects of the steering tube clamp **40** are now described. A prior art steering tube clamp **140** is shown in FIGS. 4 and 5 and includes a pair of cooperating clamp members **141a**, **141b** aligned in side-by-side relation. The prior art steering tube clamp **140** also includes respective portions defining an imaginary cylinder, and a recess, defined by respective recesses **143a**, **143b**, for the steering tube. Each clamp member **141a**, **141b** also has a fastener receiving passageway **142a**, **142b** therein to receive a single fastener, such as a bolt, for example. The fastener

receiving passageways **142a, 142b** are aligned along the axis **145** defined by the imaginary cylinder.

This prior art arrangement of the fastener receiving passageways **142a, 142b** along the axis **145** results in a relatively shallow recess provided by the combination of recesses **143a, 143b**. For example, this shallow arrangement may provide contact of one recess **143a** of less than about 40 degrees for the angle **A** as shown in FIG. 5. The total clamp contact onto the steering tube is then less than about 80 degrees. This may result in a weak connection to the steering tube and/or damage to the steering tube, for example.

As shown in the embodiments of the invention in FIGS. 6-12, the stem **30** in some embodiments advantageously includes a steering tube clamp **40, 40'** **40"** with cooperating clamp members **41a, 41b; 41a', 41b'; 41a'', 41b''** aligned in side-by-side relation and comprising respective portions defining an imaginary cylinder and a recess therein for the steering tube. The recesses are defined by the individual recesses **43a, 43b; 43a', 43b'; 43a'', 43b''** as will be appreciated by those skilled in the art. Moreover, each clamp member **41a, 41b; 41a', 41b'; 41a'', 41b''** also has at least one fastener receiving passageway therein **42a, 42b, 42c, 42d; 43a', 43b'; 43a'', 43b''** offset a predetermined distance from an axis **45, 45', 45"** defined by the imaginary cylinder.

The arrangement of the offset is also illustratively in the direction away from the recess for the steering tube. This configuration provides for a greater area and/or angle of contact between the steering tube clamp **40, 40' 40"** and the steering tube. Many of the disadvantages of the prior art steering

tube clamp **140** are overcome in accordance with this aspect of the present invention.

The offset may range from several millimeters to 10 or more millimeters depending on the size of the steering tube and other considerations as will be appreciated by those skilled in the art. The offset permits the angle of contact provided by a recess of a clamp member to be greater than about 45 degrees in some embodiments, greater than about 60 degrees in other embodiments, and even greater than 90 degrees in other preferred embodiments. In other words, the recesses may be greater than 90, 120 and even 180 degrees. While prior art clamps **140** may have had a tendency to damage or deform the steering tube from proper roundness, the steering tube clamp **40, 40', 40"** in accordance with the invention may actually enhance the roundness of a steering tube that is out of round, such as caused by prior use of a conventional steering tube clamp **140**, or from a tube that is out-of-round from the factory.

Referring now more particularly to FIGS. 6 and 7, the steering tube clamp **40'** includes only a single pair of aligned fastener receiving passageways **42a', 42b'** to receive a single fastener, such as a bolt, not shown. In other words, one of the fastener receiving passageways may be threaded and the other may include a stepped diameter to accommodate the enlarged bolt head as will be appreciated by those skilled in the art. Other types of the fastener are also contemplated by the present invention.

In this embodiment of the steering tube clamp **40'** the ends of the clamp members **41a', 41b'** may be considered as defining circles. Thus the imaginary cylinder defined by the steering tube clamp members

**41a'**, **41b'** is essentially a circular cylinder defined by the perimeter portions of the ends of the clamp members in the illustrated embodiment. A relatively large contact angle **B** is thus defined by the steering tube clamp members **41a'**, **41b'**. Other forms of imaginary cylinders are also contemplated by the present invention, including those having a cross-sectional shape in the form of a rectangle, square, ellipse, and/or polygon, or other shape, as long as such a shape defines an axis from which the fastener receiving passageways are offset.

Referring now more particularly, to the steering tube clamp **40** shown in FIGS. 8 and 9, another embodiment or variation is explained. In this variation, end portions of the clamp members **41a''**, **41b''** are each cut or truncated along a plane intersecting the imaginary cylinder as will be readily appreciated by those skilled in the art. In other words, cut-off wing portions are formed at the ends of the clamp members **41a''**, **41b''**. A somewhat smaller contact angle **C** is provided as compared to the steering tube clamp embodiment **40'** discussed above with reference to FIGS. 6 and 7, however, the available contact angle **C** is still greater than the angle **A** of the prior art steering tube clamp **140**.

Referring now briefly again to FIGS. 10-12, this embodiment of the steering tube clamp **40** is similar to the embodiment of the steering tube clamp **40'** shown in FIGS. 6 and 7. The steering tube clamp **40** has a profiled shape on the outer end surfaces of each clamp member **41a**, **41b** to match the curvature of the adjacent portions of the steering tube clamping portion **33** as perhaps best seen in FIG. 1.

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predetermined angle **D** from parallel to the axis **45** of the imaginary cylinder. The dashed line **49** indicates a parallel line to the axis **45**. In other words, in the position shown in FIG. 14A, the passageways **42b**, **42c**  
5 define a shallow V-shape.

This canting allows the fasteners to be tightened with less likelihood of binding as outer portions of the clamp members **41a**, **41b** engage the steering tube and tend to rotate the outer portions of  
10 the clamp members outwardly as the lower portions of the clamp members themselves are being drawn together as shown in FIG. 14B. In the illustrated embodiment, the fastener is in the form of a bolt **46b** having an enlarged head **47b** and a threaded shaft **48b** extending  
15 outwardly therefrom. A keyed recess **49b** is provided in the end of the bolt head **47b**.

For example, the predetermined angle **D** may be in a range of about one-half to five degrees and, more preferably about one to three degrees. One and one-  
20 half degrees for the canting angle **D** has also been found satisfactory for some embodiments. Although two sets of fastener receiving passageways are shown in the embodiment of the steering tube clamp **40**, this canting concept is applicable to a single set or more than two  
25 sets.

The same or equivalent effect to canting the fastener receiving passageways at the predetermined angle **D** may be achieved by changing the outer shape of the clamping members **41a**, **41b** to be canted as will be  
30 appreciated by those skilled in the art.

As will be readily appreciated by those skilled in the art, a number of the advantageous features of the invention may be used independently or in combination. For example, the multiple fasteners

Turning now to FIGS. 15-18, another variation of the bicycle stem **30'** is now described. In this variation, only a single movable clamping member **41a'** is used, and the other mating clamping member is in effect provided by integrally formed opposing wall portions **41b'** of the steering tube clamping portion **33'**. The movable clamp member may be either on the right or lefthand side as will be appreciated by those of skill in the art. Of course, these opposing wall portions **41b'** would also include the fastener receiving passageways **42b'**, **42d'** as shown in the illustrated embodiment, and as will be appreciated by those skilled in the art.

Turning now to FIGS. 19 to 25 other advantageous features of the stem **30** are further described, particularly as relating to the handlebar clamping portion **32** and its associated handlebar clamp member **50**. In particular, a conventional handlebar **60** as illustrated includes a pair of nominal diameter tubular portions **61a**, **61b** connected together at a



5 Attempting to clamp uniformly across the entire extent  
of the tapered or enlarged diameter portion **63** may  
present difficulties, and strength and/or torsional  
rigidity of the stem may then be compromised.

In some prior art stems, the handlebar clamp is defined by a single slot in a tube that can be slightly opened to receive the handlebar.

20 Unfortunately, the handlebar must then be carefully and painstakingly threaded through the small opening. In addition, hardware attached to the handlebar may need to be removed from the handlebar. Accordingly, one advantageous feature of the illustrated stem **30** is that

25 the clamping member **50** may be completely removed to facilitate installation of the handlebar.

The handlebar clamping member **50** and the handlebar clamping portion **32** have respective cavities **53**, **52** in respective medial portions thereof to accommodate the enlarged diameter medial portion **63** of the handlebar **60**. The handlebar clamping member **50** in the illustrated embodiment has a generally rectangular shape with a semi-cylindrical recess **70** (see, e.g. FIG. 24) formed therein for receiving and engaging the

handlebar **60**. The semi-cylindrical recess **70** and medial cavity **63** thus define two arcuate contact bands or areas **63a**, **63b** for tightly engaging the handlebar **60** at spaced apart locations. Indeed these spaced apart  
5 contact bands **63a**, **63b** illustratively extend to the outer edges of the stem **30**. Similarly, the handlebar clamping portion **32** illustratively includes a semi-cylindrical recess therein, which, in combination with its medial cavity **53**, defines a corresponding pair of  
10 arcuate contact bands or areas **63a**, **63b** which are also spaced from one another to the edges of the stem **30**. Accordingly, a rigid and strong connection can be made from the stem **30** to the handlebar **60**, such as to reduce torsional rotation during riding, which would otherwise  
15 occur to sap the rider's energy.

In the illustrated embodiment, the body portion **31** has a tubular shape with a hollow interior **66**. The cavity **53** of the handlebar clamping portion **32** has an opening therein in communication with the hollow  
20 interior **66** of the body portion. Accordingly, weight can be reduced without compromising strength.

The handlebar clamping member **50** and the handlebar clamping portion **32** may both have generally rectangular shapes overlying one another. In these  
25 embodiments, respective fasteners **67a-67d** (FIG. 22) secure the corners of the handlebar clamping member **50** and the handlebar clamping portion **32** together. The handlebar clamping portion **32** may include threaded passageways, and the handlebar clamping member **50** may  
30 include corresponding passageways, including enlarged portions to receive the bolt heads as shown in the illustrated embodiment. Of course, this configuration of fasteners **67a-67d** could be reversed. Fewer or

greater than four fasteners could also be used in other embodiments. In addition, the cavities **53**, **52** may be advantageously used in stem configurations, such as the single slot handlebar clamp stem discussed above, and  
5 others, as will be appreciated by those skilled in the art.

Turning now additionally to FIGS. 26 to 28 another aspect of the stem **30** in accordance with the invention is now described. In accordance with this  
10 aspect, the bicycle stem **30** comprises a body portion **31**, a handlebar clamping portion **32** (FIGS. 1-3) and a steering tube clamping portion **33** having relative strengths so that a predetermined portion, such as a leg **70a** of the steering tube clamping portion **32**, will  
15 crack first to thereby provide a visual maintenance indication to a user. As described above the steering tube clamping portion **32** may have a tubular shape defining a steering tube receiving passageway **35** therethrough. The clamp receiving passageway **36** is  
20 transverse to the steering tube passageway **35** and in communication therewith. The steering tube clamping portion **32** may thus comprise four legs **70a-70d** defined by the steering tube receiving passageway **35** and the clamp receiving passageway **36**.

25 The relative strengths may be configured so that one of the legs, typically a lower leg, such as the illustrated lower leg **70a** will form a crack **75** first. Of course, the crack **75** provides a visual indication to the user to repair or replace the stem  
30 **30**. The other three legs **70b-70d** are preferably sufficiently strong to maintain a connection to the steering tube even with one of the legs cracked. Thus the likelihood of unexpected complete or catastrophic failure of the stem is significantly reduced.

By forming a crack **75** first is generally intended to mean forming a crack based upon material fatigue, as opposed, for example, to ultimate breaking strength. Fatigue is typically more of a problem as a bicycle is used over and over again with the rider providing alternating rotational loads on the handlebar. For example, an aluminum embodiment of the stem **30** has been tested to withstand upwards of 80,000 load cycles before the first leg will crack. The test included providing alternating loads of  $\pm 92$  pounds of force on a test handlebar spanning eighteen inches from end-to-end, and with the stem in the middle. It is further noted that the torsional rigidity of the stem **30** is also very high. For example, greater than 100 foot-pounds of torque may be needed for a one degree deflection of the handlebar.

Although the invention is directed primarily to a bicycle stem, the same or similar concepts are also applicable to connection from one body or device to a tube, rod or bar. For example, the steering tube clamp concepts can be readily extended to any such connections where it is desired to clamp to a tube or solid round bar or rod, for example. Accordingly, many modifications and other embodiments of the invention will come to the mind of one skilled in the art.

In addition, other features relating to the bicycle stem are disclosed in copending patent applications filed concurrently herewith and assigned to the assignee of the present invention and are entitled BICYCLE STEM INCLUDING ENHANCED CLAMP AND ASSOCIATED METHODS, attorney work docket number 57008; BICYCLE STEM HAVING VISUAL MAINTENANCE INDICATION AND ASSOCIATED METHODS, attorney work docket number 57013; and OBJECT CLAMP INCLUDING CLAMP MEMBERS AND ASSOCIATED METHODS, attorney work docket number 57014; the entire

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